



Broker Summary

TROY A. DYER

CRD# 2422184

Not Licensed

No Disclosures

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Experience

8 year(s) in securities industry

Disclosure Events Registration History



Disclosure Events

This broker has no disclosure events.

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Registration History

This broker is not currently registered with any FINRA firm.

This broker was previously registered with the following FINRA firm(s):

| | |
|--|-------------------|
| WOODBURY FINANCIAL SERVICES, INC. (CRD# 421) - OAKDALE, MN | 08/2003 - 10/2004 |
| WALNUT STREET SECURITIES, INC. (CRD# 15840) - EL SEGUNDO, CA | 08/2001 - 02/2002 |
| OGILVIE SECURITY ADVISORS CORPORATION (CRD# 10105) - CHICAGO, IL | 07/1999 - 05/2001 |
| MUTUAL SERVICE CORPORATION (CRD# 4806) - BOSTON, MA | 02/1998 - 07/1999 |
| TRANSAMERICA FINANCIAL RESOURCES, INC. (CRD# 3600) - LOS ANGELES, CA | 03/1994 - 02/1998 |

Qualifications

This broker is not currently registered with any FINRA firm.

This broker has passed:

- 0 Principal/Supervisory Exams
- 1 General Industry/Product Exam
- 1 State Securities Law Exam